

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Wegelin & Co.  
Name of Transferee

Clariden Leu Ltd  
Name of Transferor

Name and Address where notices to transferee should be sent:

Wegelin & Co.  
Privatbankiers  
Bohl 17  
CH-9004 St. Gallen  
Switzerland

Court Claim # (if known): 55813  
Amount of Claim: Note: This is a Partial  
Transfer of Claim. See  
attached Evidence of  
Transfer of Claim for  
Details

Date Claim Filed: 10/29/2009

Phone: +41 71 242 59 19  
Email: ca@wegelin.ch  
Last Four Digits of Acct #: n/a

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature] A. Jings Date: 17. May 2011  
Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



**EVIDENCE OF TRANSFER OF CLAIM**

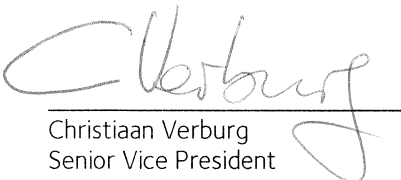
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Clariden Leu Ltd.** ("Transferor"), unconditionally and irrevocably transferred to **Wegelin & Co. Privatbankiers** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55813) in the amount of CHF 40,000 related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 25 day of March 2011.

**Clariden Leu Ltd.**

  
Christiaan Verburg  
Senior Vice President

  
Pascal Bersier  
Assistant Vice President



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/ Nominal Amount/ Amount of Claim related to Security
XS0234123650	55813	Oct 29, 2009	Lehman Brothers Treasury BV	CHF 40,000



18.05.11

CH-9004

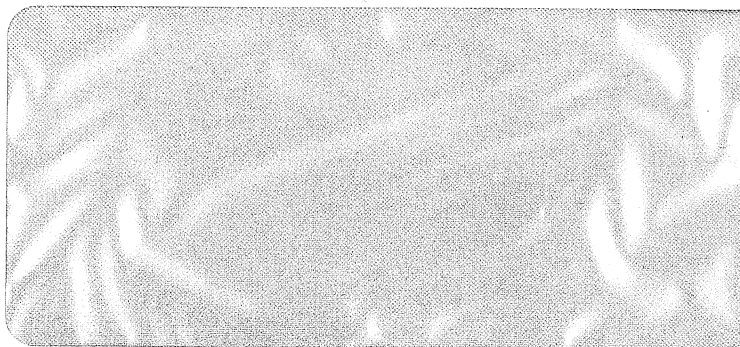
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WEGELIN & Co.

PRIVATE BANKERS SINCE 1741

United States Bankruptcy  
Court/Southern District of New York  
Lehman Brothers Holdings Claims  
Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor  
New York, NY10017  
USA

St. Gallen, May 17, 2011

**Notice of transfer from Clariden Leu Ltd. (the "Transferor") to Wegelin & Co. on behalf of its client/s (the "Transferee") of Lehman Brothers Treasury Co. BV – ISIN XS0234123650 (the "Security") and the claim n. 55813 attached thereto (the "Claim")**

Dear Sirs

Reference is made to the transfer of the above mentioned Security and the Claim attached thereto (the "Transfer") to our bank as nominee on behalf of its client/s.

In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by our bank as Transferee on behalf of its client/s;
- 2) Form "Evidence of Transfer of Claim", signed by Clariden Leu Ltd. as Transferor, as a proof that Clariden Leu Ltd. has transferred a registered claim to Wegelin & Co. on behalf of its client/s.

Given the importance of this Notice in the interests of our client/s, We would also appreciate if you can advise us immediately if something (e.g. information, document, etc.) is missing by sending a message to the following e-mail address: [ca@wegelin.ch](mailto:ca@wegelin.ch).

Thank you very much in advance for your kind help and attention.

Yours sincerely,

Wegelin & Co. Private Bankers

Thomas Hautle

August Zingg

Attachment: as above

WEGELIN & CO. PRIVATE BANKERS PARTNERS BRUDERER, HUMMLER, TOLLE & CO.

CH-9004 St. Gallen Bohl 17 Telephone +41 71 242 50 00 Fax +41 71 242 50 50 [wegelin@wegelin.ch](mailto:wegelin@wegelin.ch) [www.wegelin.ch](http://www.wegelin.ch)

ST. GALLEN BASEL BERNE CHIASSO CHUR GENEVA LAUSANNE LOCARNO LUCERNE

LUGANO SCHAFFHAUSEN WINTERTHUR ZURICH